



CORAL TRIANGLE INITIATIVE

ON CORAL REEFS, FISHERIES AND FOOD SECURITY

Annex-7
Decision Document on:
Monitoring & Evaluation Working Group
Report and M&E System

8th CTI-CFF Senior Officials Meeting (SOM8)

22-24 November 2012
Kuala Lumpur, Malaysia

Adopted 23rd November 2012
by the Governments of Indonesia, Malaysia, Papua New Guinea, the Philippines,
Solomon Islands, and Timor-Leste

Session #4
Day 2, 23 November 2012
MEWG Report and M&E System

Background:

The Monitoring and Evaluation Working Group (MEWG) has been supporting the Coral Triangle Initiative since its inception and has grown into a functioning body to support monitoring the success of the initiative. Below are some key dates on SOM decisions/actions pertaining to M&E indicating significance of the theme in CTI:

- 2008: CCC 1, Jakarta (May), CCC 2, Manila (October) SOM 2, Manila (October)
- 2009: SOM 3, Port Moresby (March), SOM 4, Kota Kinabalu (October)
- 2010: SOM 6, Manado (November)
- 2011: SOM 7, Jakarta (October)

For purposes of SOM 8, the MEWG presented its progress in formulating the CTI-CFF M&E System including the indicators, data management and institutional arrangements to make the system operation.

Decision:

Based on the presentations made by the Monitoring and Evaluation Working Group and the discussions held on the session on MEWG Report at the 8th Senior Official Meeting (SOM8), the Senior Officials take the following decisions:

- a. Noted the progress made by the MEWG in adopting its Terms of Reference (TOR) with the Philippines and Malaysia as Chair and Vice Chair respectively and in developing the CTI-CFF M&E System (see attachment 1).
- b. Acknowledged the outputs of the MEWG workshops done in April and October 2012
- c. Endorsed the adoption of the CTI-CFF M&E System with provisions for modification of indicators by respective Thematic TWGs for continuing improvement (attachment 2).
- d. Recognized the need for NCCs to review the national and regional SCTR by November 30, 2012 prior to publication.
- e. Recognized the Coral Triangle Atlas (CT-Atlas) as integral to the implementation of the CTI-CFF M&E System. As such, the countries are encouraged to share relevant data with CT-Atlas through appropriate mechanisms, i.e. Memorandum of Understanding (MOUs).

Attachments: (see next page)

Attachment 1

CTI Monitoring & Evaluation Thematic Working Group: Structure and Operations

As of 28TH April 2012 MEWG Meeting, Manila, Philippines

1. MEWG Membership and Structure

- 1.1 Membership. The MEWG shall be composed of at least two representatives from each of the CT6 (Indonesia, Malaysia, Papua New Guinea, Philippines, Solomon Islands and Timor-Leste), a representative from the Regional Secretariat and CTI-CFF partners. The NCC of CT6 countries shall designate representatives to the MEWG meetings. However, decision-making shall be lodged with the CT6 members.
- 1.2 Term of the Chair and Vice Chair. The term of the Chair and Vice Chair is two years starting on 01 January of the year, following the confirmation of the SOM. The MEWG will decide the subsequent Chair and Vice Chair.

2. Purpose and Tasks of the MEWG

The primary function of the MEWG, as provided by SOM6, is to provide technical inputs and recommendations to the Regional Secretariat and the National Coordinating Committees of the CT6 in achieving the over-arching goals that have been set forth in the RPOA. Generally as approved by the SOM6, the Working Groups shall:

- convene Working Groups meetings and discussions by creating CT6 team and partner for each theme.
- coordinate and assist in the identification, compilation, and consultation on thematic issues in the CT6.
- assist regional exchanges and workshops as public consultation.
- communicate with CT6 focal points, experts, partners, and other groups on specific theme.
- prepare technical and communication material on WG matters to be distributed to regional secretariat and CT countries.

Specifically, the MEWG may also perform the following functions:

- Develop and oversee the implementation of the CTI M&E System, which will help track and report on progress on the RPOA objectives and

targets, including alignment on meeting the commitments of the CT6 to international conventions such as the Convention on Biological Diversity

- Oversee and coordinate with the Regional Secretariat for the preparation of the regional State of the Coral Triangle Report
- Coordinate with the other WGs and partners on the preparation of activity status and output tracking sheets for the regional priority actions
- Coordinate on the organization and conduct of relevant regional exchanges, conferences or meetings
- In relation to item (i), coordinate on the development of a CTI Index.

3. Program Planning and Coordination

3.1 Regular MEWG meetings. The MEWG shall conduct at least one meeting annually to prepare the annual report and submit to the SOM. The schedule of the meetings will take into consideration planned CTI regional events and SOM / MM meetings. In addition to the annual meeting, conference calls may be arranged among the MEWG focal points to keep the CT6 abreast with the developments on the MEWG work plans across the CT6. The Chair shall inform the TWG focal points of the CT6 at least two weeks prior to the date of the conference call and the agenda.

3.2 MEWG Annual Work and Financial Plan Preparation and Presentation to SOM. In coordination with and with support from the CTI-CFF Regional Secretariat, the MEWG shall prepare an annual work and financial plan showing directions and activities leading towards the successful completion of the priority actions set forth by SOM on M&E. The MEWG shall encourage the support and participation of the CTI-CFF development partners and other TWGs in drawing the MEWG annual work and financial plan.

Resource allocation needed to complete the activities presented in the annual work and financial plan shall be integral in the work plan preparation. The funding sources from within the CT6 governments and development partner organizations will be identified and form part of the presentation of the work plan to SOM.

3.3 Collaboration with other TWGs. The MEWG shall coordinate and collaborate with other CTI TWGs and the regional secretariat in the planning and conduct of regional priority actions.

3.4 Collaboration with Technical Experts and Supporting Institutions and Organizations. The MEWG shall invite and duly recognize the technical experts and supporting institutions (e.g. academe and research

organizations) as technical advisers to the TWG. The Regional Secretariat shall assist the MEWG in putting together a pool of technical advisors which will be called on for specific questions or issues. The specific functions of the technical advisors are:

- To provide technical support in the compilation, review and analysis of data/information and provide decision support regarding issues relating to M&E of the RPOA goals and targets
- To provide technical support in the preparation of communication messages including press releases, and other information and communication materials
- To guide the preparation and/ or review of concept notes and/or funding applications
- To guide the preparation of reports of CTI regional activities concerning M&E

3.5 Monitoring and Reporting of Progress. The MEWG shall develop an M&E system and indicators to track and report on progress of work plan implementation of the TWGs and the achievement of the RPOA goals and targets.

3.6 Mechanism to Change Indicators. The MEWG may recommend a change in the M&E indicators, or review and endorse any change requested by another TWG to make a change in its M&E indicators. The consensus needed from the CT6 on such decisions will be coursed through the Regional Secretariat.

4. Administrative Support to the MEWG

The administrative support for the TWG shall be provided by the country chairing the TWG. Coordination with other CT6 countries pertaining to schedules of activities, collaboration with other countries and other related activities should be coordinated with the regional secretariat.

5. Financial Arrangements

The MEWG shall provide assistance to the Regional Secretariat in mobilizing financial resources to support the implementation of the annual work plan as well as the operations of the TWG.

Attachment 2

1. Schematic table of CTI-CFF Monitoring & evaluation indicators

Presented at the Jakarta MEWG formal meeting on 24 October 2012 and endorsed by the MEWG “with provisions for modifications by the respective thematic working groups going forward as needed”

Impact	Improvement in the affordability, availability and quality and safety of food coming from coastal and marine <ul style="list-style-type: none"> Availability: food sufficiency of fishing household; food consumption of coastal communities Quality and safety: contribution of fish to protein requirement, health of fishing communities Affordability: income of fishers, price 				
Higher level Outcome	Coral reef ecosystem integrity and services stabilized / maintained <ul style="list-style-type: none"> Condition of coral reef Extent of mangroves and seagrass Fish biomass Extent of coral reef and associated habitats in full protected areas 	Fish stocks improved and sustained <ul style="list-style-type: none"> Change in conservation status (international) of commercially important fish species (coastal and pelagic) Change in catch per unit effort by gear Change in species composition relative to trophic level Change in size distribution by fish species Change in exploitation status for pelagic and other species 			
Outcomes	See list of indicators	See list of indicators	See list of indicators	See list of indicators	See list of indicators
Outputs	See list of indicators	See list of indicators	See list of indicators	See list of indicators	See list of indicators
Goals	Priority Seascapes Designated and Effectively Managed	Ecosystem approach to management of fisheries and other marine resources is fully applied	Marine Protected Areas (MPAs) Established and Effectively Managed	Climate Change Adaptation Measures Achieved	Threatened Species Status Improving



2. Indicators according to RPOA goals

Goal 1 – Priority seascapes designated and effectively managed

“Priority Seascapes” designated, with investment plans complemented/ sequenced
Marine and coastal resources within all “Priority Seascapes” are being sustainably managed

Target 1.1:

- Number of priority seascapes designated with investment plans

Target 1.2:

- Number of priority seascapes under **continuous** improved management
- Value (in US\$) of funding secured per type of fund source
- Coordinating body for each “priority seascape” established to guide, monitor and track efforts in the seascape/s

Goal 2 – Ecosystem approach to management of fisheries (EAFM) and other marine resources fully applied

Strong legislative, policy and regulatory frameworks in place for achieving an ecosystem approach to fisheries management. Improved income, livelihoods and food security of people in coastal communities across the region. Effective measures in place to help ensure exploitation of shared tuna stocks is sustainable, with tuna spawning areas and juvenile growth stages adequately protected. A more effective management and more sustainable trade in live-reef fish and reef-based ornaments achieved

Target: 2.1:

- Number of policies and regulations promoting EAFM at regional and national levels with regulatory framework and budget allocated for their operationalization
- Number of projects and programs to implement EAFM
- Number of projects and programs implementing EAFM and components thereof

Target 2.2:

- Percent change in average income (fishing and non-fishing) of coastal households by profession compared to baseline
- Percent change in poverty
- Percent change in poverty and food threshold of coastal households by livelihood compared to baseline
- Stable price of fish
- Percent contribution of fish to protein requirements

Target 2.3:

- Number of policies and agreements by among CT6 countries for management of tuna (5)
- Change in conservation status of tuna
- Number of countries adhering to markets/certification standards of tuna fisheries agreed upon by CT6 countries

Target 2.4:

- Number of policies and agreements on live reef fish trade among CT6 to decrease level of destructive fishing practices linked to the trade
- Number and area (sq km) of locally managed areas for live reef fish trade (9)
- Number of countries adhering to markets/certification (live reef fish and ornamental fisheries) agreed by CT6 (7)
- Change in conservation status of live reef fish species (to be decided by CTI as a body or by a forum designated by the CT6 according to IUCN-red list criteria assessment or other criteria determined by CTI) (6)

Goal 3 -- Marine protected areas (MPAs) established and effectively managed
Region-Wide Coral Triangle MPA System (CTMPAS) in place and fully functional

Target 3.1.

- CTMPAS Framework developed and adopted by CT6 (1)
- Percent/Area of total marine habitat area in CT region in marine protected or managed areas
- Percent/area of each major marine and coastal habitat type in strictly protected “no-take replenishment zones”
- Percent/Area (in sq km) of marine protected areas under “effective” management
- Percent/Area of marine protected/ managed areas included in CTMPAS

Goal 4 – Climate change adaptation (CCA) measures achieved

Region-wide early action for climate adaptation plan for the near-shore marine and coastal environment developed and implemented. Networked national centres of excellence on climate change adaptation for marine and coastal environments are established and in full operation

Target 4.1:

- Number of regional agreements/frameworks/plans (e.g. region-wide early action plan (REAP) developed and implemented

- Number of national policies (including national CCA plans and frameworks), laws and regulations on climate change adaptation proposed, adopted, and implemented
- Percentage of local governments that have integrated climate adaptation into local governance (plans and actions)
- Area of mangrove restored, protected or managed. (REAP 1&2)

Target 4.2.:

- A national institution within CT6 designated and networked to address climate change adaptation coordinated with national government support

Goal 5 – Threatened species status improving

Improved status of sharks, sea turtles, marine mammals and other identified threatened species

Target 5.1:

- Number of new policies or agreements adopted at the regional, national and local levels that are in compliance with the international agreements on threatened species
- Area (in square kilometers) of protected marine habitat that contributes to conservation of threatened and endangered species protected (1)
- Number of threatened species with improved status (to be decided by CTI as a body or by a forum designated by the CT6 according to IUCN-red list criteria assessment or other criteria to be determined by CTI)

3. Measures and Evaluation System

Monitoring and Evaluation system, including mode of reporting, adaptive management loop and Coral Triangle Atlas as a mechanism to report spatial data.

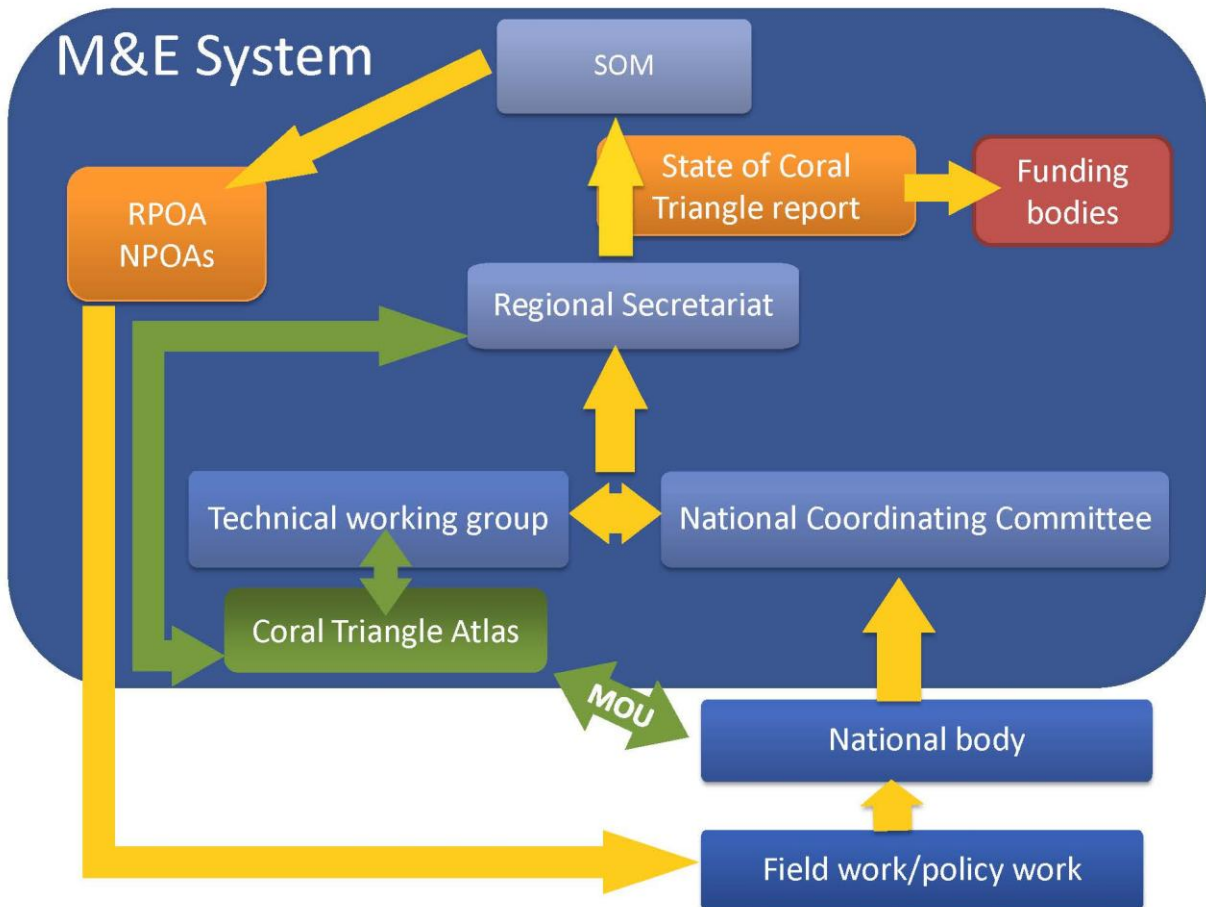


Fig 1. Measures and Evaluation System

4. Road Map 2012-2013

Tasks	Next Steps/Activities	Timeframe	Responsible Entities
A. Completion of rSCTR	1. External reviewers to send comments	End of Oct	Terry, Angel
	2. Team to incorporate comments	Nov 15	KM team
	3. Presentation to the SOM of SCTR highlights	Nov 19-23	KM team
	4. Send to countries for final review	Nov 30	KM team
	5. Copy editing	Dec 25	ADB
	6. Publication	Jan 31	ADB
B. Completion of M&E System (minus the TWGs which have not endorsed its indicators)	1. Finalize the workshop report (short version)	Nov 6	Ciony
	2. Package workshop outputs and send to countries and TWGs	Nov 6	M&E WG Chair
	3. Review by countries (country M&E focal points) and TWGs	Nov 16	Country M&E Focal Point
	4. Incorporate comments from countries and TWGs	Nov 20	M&E WG Chair
	5. Final document for presentation to SOM	Nov 20	M&E WG Chair
	6. Participate in the Partners' Coordination Meeting	Jan 16-17	M&E WG Chair
	7. Draft and circulate M&E operations manual to CT6 based on country's capacity and resources	Feb 1	M&E WG Chair
	8. TWGs (Seascapes and Threatened species) to review and refine its indicators	Feb 28	Respective TWGs
	9. Conduct a workshop to develop the M&E operations manual and implementation plan (including assessment of country's capacity)	Mar 31	M&E WG Chair
	10. Finalize the M&E operations manual	April 30	M&E WG Chair
	11. Conduct a regional training to operationalize the M&E system using the operations manual	May 31	